

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO.   | FILING DATE     | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|---|-----------------|----------------------|---------------------|------------------|
| 10/535,213  | 05/17/2005      | Masahiro Furukawa    | 123927              | 9408             |
| 25944   | 7590 10/03/2006 |                      | EXAM                | INER             |
| OLIFF & BERRIDGE, PLC<br>P.O. BOX 19928<br>ALEXANDRIA, VA 22320 |                 |                      | GROUP,              | KARL E           |
|   |                 |                      | ART UNIT            | PAPER NUMBER     |
|   |                 |                      | 1755                |                  |

DATE MAILED: 10/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

| 1 |
|---|
|---|

|  | Application No.  | Applicant(s)   |  |  |  |  |
|--|--|--|--|--|--|--|
|  | 10/535,213   | FURUKAWA ET AL.  |  |  |  |  |
| Office Action Summary  | Examiner   | Art Unit   |  |  |  |  |
|  | Karl E. Group  | 1755   |  |  |  |  |
| The MAILING DATE of this communication app   | ·  |  |  |  |  |  |
| Period for Reply   |  |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DATE of time may be available under the provisions of 37 CFR 1.1: after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period versilure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNION  36(a). In no event, however, may a result of the second will expire SIX (6) MON accuse the application to become AE | CATION.  reply be timely filed  ITHS from the mailing date of this communication.  BANDONED (35 U.S.C. § 133). |  |  |  |  |
| Status   |  |  |  |  |  |  |
| 1) Responsive to communication(s) filed on   |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
| 3) Since this application is in condition for allowar  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is                            |  |  |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  |  |  |  |  |  |  |
| Disposition of Claims  |  |  |  |  |  |  |
| 4) Claim(s) 1,3-5,7-12 and 14-16 is/are pending in   | n the application.   |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.   |  |  |  |  |  |  |
| 5) Claim(s) is/are allowed.  |  |  |  |  |  |  |
| 6) Claim(s) <u>1,3-5,7-12 and 14-16</u> is/are rejected.   |  |  |  |  |  |  |
| 7) Claim(s) is/are objected to.  |  |  |  |  |  |  |
| 8) Claim(s) are subject to restriction and/o   | r election requirement.  |  |  |  |  |  |
| Application Papers   |  |  |  |  |  |  |
| 9) The specification is objected to by the Examine   | r.   |  |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ acce  | epted or b) objected to  | by the Examiner.   |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  |  |  |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |  |  |  |  |  |  |
| 11)☐ The oath or declaration is objected to by the Ex  | aminer. Note the attached  | J Office Action or form PTO-152.   |  |  |  |  |
| Priority under 35 U.S.C. § 119   |  |  |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  |  |  |  |  |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:   |  |  |  |  |  |  |
| 1. Certified copies of the priority documents  |  |  |  |  |  |  |
| 2. Certified copies of the priority documents  |  | · ·  |  |  |  |  |
| 3. Copies of the certified copies of the prior   |  | received in this National Stage  |  |  |  |  |
| application from the International Bureau  |  | and the second   |  |  |  |  |
| * See the attached detailed Office action for a list   | of the certified copies not  | received.  |  |  |  |  |
|  |  |  |  |  |  |  |
| Attachment(s)  |  |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  | 4) TInterview S  | Summary (PTO-413)  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date  |  |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 6-6-05,8-18-05.   | 5)  Notice of I  | nformal Patent Application   |  |  |  |  |
| S. Patant and Trademark Office   |  | <del></del> -  |  |  |  |  |

Application/Control Number: 10/535,213 Page 2

Art Unit: 1755

## Claim Objections

1. Claims 1,7 and 12 are objected to because of the following informalities: It is suggested to remove the terminology "characterized by" from the claims to clarify the limitations are required by the claims. Claim 7, the claim should be amended to clarify the melting temperature is that of the amorphous oxide phase of the recited oxides. Appropriate correction is required.

## Claim Rejections - 35 USC § 112

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
   The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Claims 12,16 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 12, line 8 and 9, "the porous structure" and "the firing" lack antecedent basis.

Claim 16, "The silicon carbide porous body" lacks antecedent basis, claim 11 claims a honeycomb structure.

## Double Patenting

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir.

Art Unit: 1755

1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claims 1,3-5,7-12,14-16 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-17 of copending Application No. 10/508656. Although the conflicting claims are not identical, they are not patentably distinct from each other because the scope of the copending claims overlap. The copending claims set forth a porous SiC body including metallic silicon and an amorphous phase not unlike the instant claims.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

6. Claims 1,3-5,7-12,14-16 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 9-16 of copending Application No. 10/537765. Although the conflicting claims are not identical, they are not patentably distinct from each other because the scope of the copending claims overlap. The copending claims set forth a porous SiC body including metallic silicon and an amorphous phase not unlike the instant claims.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Art Unit: 1755

Although the specific porosity structure is not disclosed in the copending applications it is well settled that when a claimed composition appears to be substantially the same as a composition disclosed in the prior art, the burden is properly upon the applicant to prove by way of tangible evidence that the prior art composition does not necessarily possess characteristics attributed to the CLAIMED composition. In re Spada, 911 F.2d 705, 15 USPQ2d 1655 (Fed. Circ. 1990); In re Fitzgerald, 619 F.2d 67, 205 USPQ 594 (CCPA 1980); In re Swinehart, 439 F.2d 2109, 169 USPQ 226 (CCPA 1971).

- 7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.
- 8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Karl E. Group whose telephone number is 571-272-1368. The examiner can normally be reached on M-F (6:30-4:00) First Friday Off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jerry Lorengo can be reached on 571-272-1233. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Karl E Grup Primary Examiner Art Unit 1755